

## The Positive Functions of Poverty<sup>1</sup>

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Mertonian functional analysis is applied to explain the persistence of poverty, and fifteen functions which poverty and the poor perform for the rest of American society, particularly the affluent, are identified and described. Functional alternatives which would substitute for these functions and make poverty unnecessary are suggested, but the most important alternatives are themselves dysfunctional for the affluent, since they require some redistribution of income and power. A functional analysis of poverty thus comes to many of the same conclusions as radical sociological analysis, demonstrating anew Merton's assertion that functionalism need not be conservative in ideological outlook or implication.

### I

Over 20 years ago, Merton (1949, p. 71), analyzing the persistence of the urban political machine, wrote that because "we should ordinarily . . . expect persistent social patterns and social structures to perform positive functions which are at the time not adequately fulfilled by other existing patterns and structures . . . perhaps this publicly maligned organization is, under present conditions, satisfying basic latent functions." He pointed out how the machine provided central authority to get things done when a decentralized local government could not act, humanized the services of the impersonal bureaucracy for fearful citizens, offered concrete help (rather than law or justice) to the poor, and otherwise performed services needed or demanded by many people but considered unconventional or even illegal by formal public agencies.

This paper is not concerned with the political machine, however, but with poverty, a social phenomenon which is as maligned as and far more persistent than the machine. Consequently, there may be some merit in applying functional analysis to poverty, to ask whether it too has positive functions that explain its persistence. Since functional analysis has itself taken on a maligned status among some American sociologists, a

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secondary purpose of this paper is to ask whether it is still a useful approach.<sup>2</sup>

II

Merton (1949, p. 50) defined functions as "those observed consequences which make for the adaptation or adjustment of a given system; and dysfunctions, those observed consequences which lessen the adaptation or adjustment of the system." This definition does not specify the nature or scope of the system, but elsewhere in his classic paper "Manifest and Latent Functions," Merton indicated that social system was not a synonym for society, and that systems vary in size, requiring a functional analysis "to consider a *range* of units for which the item (or social phenomenon H.G.) has designated consequences: individuals in diverse statuses, subgroups, the larger social system and cultural systems" (1949, p. 51).

In discussing the functions of poverty, I shall identify functions for *groups* and *aggregates*; specifically, interest groups, socioeconomic classes, and other population aggregates, for example, those with shared values or similar statuses. This definitional approach is based on the assumption that almost every social system—and of course every society—is composed of groups or aggregates with different interests and values, so that, as Merton put it (1949, p. 51), "items may be functional for some individuals and subgroups and dysfunctional for others." Indeed, frequently one group's functions are another group's dysfunctions.<sup>3</sup> For example, the political machine analyzed by Merton was functional for the working class and business interests of the city but dysfunctional for many middle class and reform interests. Consequently, functions are defined as those observed consequences which are positive *as judged by the values of the group under analysis*; dysfunctions, as those which are negative by these values.<sup>4</sup> Because functions benefit the group in question and dysfunctions

<sup>2</sup> The paper also has the latent function, as S. M. Miller has suggested, of contributing to the long debate over the functional analysis of social stratification presented by Davis and Moore (1945).

<sup>3</sup> Probably one of the few instances in which a phenomenon has the same function for two groups with different interests is when the survival of the system in which both participate is at stake. Thus, a wage increase can be functional for labor and dysfunctional for management (and consumers), but if the wage increase endangers the firm's survival, it is dysfunctional for labor as well. This assumes, however, that the firm's survival is valued by the workers, which may not always be the case, for example, when jobs are available elsewhere.

<sup>4</sup> Merton (1949, p. 50) originally described functions and dysfunctions in terms of encouraging or hindering adaptation or adjustment to a system, although subsequently he has written that "dysfunction refers to the particular inadequacies of a particular part of the system for a designated requirement" (1961, p. 732). Since adaptation and

hurt it, I shall also describe functions and dysfunctions in the language of economic planning and systems analysis as benefits and costs.<sup>5</sup>

Identifying functions and dysfunctions for groups and aggregates rather than systems reduces the possibility that what is functional for one group in a multigroup system will be seen as being functional for the whole system, making it more difficult, for example, to suggest that a given phenomenon is functional for a corporation or political regime when it may in fact only be functional for their officers or leaders. Also, this approach precludes reaching a priori conclusions about two other important empirical questions raised by Merton (1949, pp. 32–36), whether any phenomenon is ever functional or dysfunctional for an entire society, and, if functional, whether it is therefore indispensable to that society.

In a modern heterogeneous society, few phenomena are functional or dysfunctional for the society as a whole, and most result in benefits to some groups and costs to others. Given the level of differentiation in modern society, I am even skeptical whether one can empirically identify a social system called society. Society exists, of course, but it is closer to being a very large aggregate, and when sociologists talk about society as a system, they often really mean the nation, a system which, among other things, sets up boundaries and other distinguishing characteristics between societal aggregates.

I would also argue that no social phenomenon is indispensable; it may be too powerful or too highly valued to be eliminated, but in most instances, one can suggest what Merton calls “functional alternatives” or equivalents for a social phenomena, that is, other social patterns or policies which achieve the same functions but avoid the dysfunctions.

### III

The conventional view of American poverty is so dedicated to identifying the dysfunctions of poverty, both for the poor and the nation, that at

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adjustment to a system can have conservative ideological implications, Merton's later formulation and my own definitional approach make it easier to use functional analysis as an ideologically neutral or at least ideologically variable method, insofar as the researcher can decide for himself whether he supports the values of the group under analysis.

<sup>5</sup> It should be noted, however, that there are no absolute benefits and costs just as there are no absolute functions and dysfunctions; not only are one group's benefits often another group's costs, but every group defines benefits by its own manifest and latent values, and a social scientist or planner who has determined that certain phenomena provide beneficial consequences for a group may find that the group thinks otherwise. For example, during the 1960s, advocates of racial integration discovered that a significant portion of the black community no longer considered it a benefit but saw it rather as a policy to assimilate blacks into white society and to decimate the political power of the black community.

first glance it seems inconceivable to suggest that poverty could be functional for anyone. Of course, the slum lord and the loan shark are widely known to profit from the existence of poverty; but they are popularly viewed as evil men, and their activities are, at least in part, dysfunctional for the poor. However, what is less often recognized, at least in the conventional wisdom, is that poverty also makes possible the existence or expansion of "respectable" professions and occupations, for example, penology, criminology, social work, and public health. More recently, the poor have provided jobs for professional and paraprofessional "poverty warriors," as well as journalists and social scientists, this author included, who have supplied the information demanded when public curiosity about the poor developed in the 1960s.

Clearly, then, poverty and the poor may well serve a number of functions for many nonpoor groups in American society, and I shall describe 15 sets of such functions—economic, social, cultural, and political—that seem to me most significant.

*First*, the existence of poverty makes sure that "dirty work" is done. Every economy has such work: physically dirty or dangerous, temporary, dead-end and underpaid, undignified, and menial jobs. These jobs can be filled by paying higher wages than for "clean" work, or by requiring people who have no other choice to do the dirty work and at low wages. In America, poverty functions to provide a low-wage labor pool that is willing—or, rather, unable to be unwilling—to perform dirty work at low cost. Indeed, this function is so important that in some Southern states, welfare payments have been cut off during the summer months when the poor are needed to work in the fields. Moreover, the debate about welfare—and about proposed substitutes such as the negative income tax and the Family Assistance Plan—has emphasized the impact of income grants on work incentive, with opponents often arguing that such grants would reduce the incentive of—actually, the pressure on—the poor to carry out the needed dirty work if the wages therefore are no larger than the income grant. Furthermore, many economic activities which involve dirty work depend heavily on the poor; restaurants, hospitals, parts of the garment industry, and industrial agriculture, among others, could not persist in their present form without their dependence on the substandard wages which they pay to their employees.

*Second*, the poor subsidize, directly and indirectly, many activities that benefit the affluent.<sup>6</sup> For one thing, they have long supported both

<sup>6</sup> Of course, the poor do not actually subsidize the affluent. Rather, by being forced to work for low wages, they enable the affluent to use the money saved in this fashion for other purposes. The concept of subsidy used here thus assumes belief in a "just wage."

the consumption and investment activities of the private economy by virtue of the low wages which they receive. This was openly recognized at the beginning of the Industrial Revolution, when a French writer quoted by T. H. Marshall (forthcoming, p. 7) pointed out that "to assure and maintain the prosperities of our industries, it is necessary that the workers should never acquire wealth." Examples of this kind of subsidization abound even today; for example, domestics subsidize the upper middle and upper classes, making life easier for their employers and freeing affluent women for a variety of professional, cultural, civic, or social activities. In addition, as Barry Schwartz pointed out (personal communication), the low income of the poor enables the rich to divert a higher proportion of their income to savings and investment, and thus to fuel economic growth. This, in turn, can produce higher incomes for everybody, including the poor, although it does not necessarily improve the position of the poor in the socioeconomic hierarchy, since the benefits of economic growth are also distributed unequally.

At the same time, the poor subsidize the governmental economy. Because local property and sales taxes and the ungraduated income taxes levied by many states are regressive, the poor pay a higher percentage of their income in taxes than the rest of the population, thus subsidizing the many state and local governmental programs that serve more affluent taxpayers.<sup>7</sup> In addition, the poor support medical innovation as patients in teaching and research hospitals, and as guinea pigs in medical experiments, subsidizing the more affluent patients who alone can afford these innovations once they are incorporated into medical practice.

*Third*, poverty creates jobs for a number of occupations and professions which serve the poor, or shield the rest of the population from them. As already noted, penology would be miniscule without the poor, as would the police, since the poor provide the majority of their "clients." Other activities which flourish because of the existence of poverty are the numbers game, the sale of heroin and cheap wines and liquors, pentecostal ministers, faith healers, prostitutes, pawn shops, and the peacetime army, which recruits its enlisted men mainly from among the poor.

*Fourth*, the poor buy goods which others do not want and thus prolong their economic usefulness, such as day-old bread, fruit and vegetables which would otherwise have to be thrown out, second-hand clothes, and deteriorating automobiles and buildings. They also provide incomes for

<sup>7</sup> Pechman (1969) and Herriott and Miller (1971) found that the poor pay a higher proportion of their income in taxes than any other part of the population: 50% among people earning \$2,000 or less according to the latter study.

doctors, lawyers, teachers, and others who are too old, poorly trained, or incompetent to attract more affluent clients.

In addition, the poor perform a number of social and cultural functions:

*Fifth*, the poor can be identified and punished as alleged or real deviants in order to uphold the legitimacy of dominant norms (Macarov 1970, pp. 31–33). The defenders of the desirability of hard work, thrift, honesty, and monogamy need people who can be accused of being lazy, spendthrift, dishonest, and promiscuous to justify these norms; and as Erikson (1964) and others following Durkheim have pointed out, the norms themselves are best legitimated by discovering violations.

Whether the poor actually violate these norms more than affluent people is still open to question. The working poor work harder and longer than high-status jobholders, and poor housewives must do more housework to keep their slum apartments clean than their middle-class peers in standard housing. The proportion of cheaters among welfare recipients is quite low and considerably lower than among income taxpayers.<sup>8</sup> Violent crime is higher among the poor, but the affluent commit a variety of white-collar crimes, and several studies of self-reported delinquency have concluded that middle-class youngsters are sometimes as delinquent as the poor. However, the poor are more likely to be caught when participating in deviant acts and, once caught, to be punished more often than middle-class transgressors. Moreover, they lack the political and cultural power to correct the stereotypes that affluent people hold of them, and thus continue to be thought of as lazy, spendthrift, etc., whatever the empirical evidence, by those who need living proof that deviance does not pay.<sup>9</sup> The actually or allegedly deviant poor have traditionally been described as undeserving and, in more recent terminology, culturally deprived or pathological.

*Sixth*, another group of poor, described as deserving because they are disabled or suffering from bad luck, provide the rest of the population with different emotional satisfactions; they evoke compassion, pity, and charity, thus allowing those who help them to feel that they are altruistic, moral, and practicing the Judeo-Christian ethic. The deserving poor also enable others to feel fortunate for being spared the deprivations that come with poverty.<sup>10</sup>

<sup>8</sup> Most official investigations of welfare cheating have concluded that less than 5% of recipients are on the rolls illegally, while it has been estimated that about a third of the population cheats in filing income tax returns.

<sup>9</sup> Although this paper deals with the functions of poverty for other groups, poverty has often been described as a motivating or character-building device for the poor themselves; and economic conservatives have argued that by generating the incentive to work, poverty encourages the poor to escape poverty. For an argument that work incentive is more enhanced by income than lack of it, see Gans (1971, p. 96).

<sup>10</sup> One psychiatrist (Chernus 1967) has even proposed the fantastic hypothesis that

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*Seventh*, as a converse of the fifth function described previously, the poor offer affluent people vicarious participation in the uninhibited sexual, alcoholic, and narcotic behavior in which many poor people are alleged to indulge, and which, being freed from the constraints of affluence and respectability, they are often thought to enjoy more than the middle classes. One of the popular beliefs about welfare recipients is that many are on a permanent sex-filled vacation. Although it may be true that the poor are more given to uninhibited behavior, studies by Rainwater (1970) and other observers of the lower class indicate that such behavior is as often motivated by despair as by lack of inhibition, and that it results less in pleasure than in a compulsive escape from grim reality. However, whether the poor actually have more sex and enjoy it more than affluent people is irrelevant; as long as the latter believe it to be so, they can share it vicariously and perhaps enviously when instances are reported in fictional, journalistic, or sociological and anthropological formats.

*Eighth*, poverty helps to guarantee the status of those who are not poor. In a stratified society, where social mobility is an especially important goal and class boundaries are fuzzy, people need to know quite urgently where they stand. As a result, the poor function as a reliable and relatively permanent measuring rod for status comparison, particularly for the working class, which must find and maintain status distinctions between itself and the poor, much as the aristocracy must find ways of distinguishing itself from the *nouveau riche*.

*Ninth*, the poor also assist in the upward mobility of the nonpoor, for, as Goode has pointed out (1967, p. 5), "the privileged . . . try systematically to prevent the talent of the less privileged from being recognized or developed." By being denied educational opportunities or being stereotyped as stupid or unteachable, the poor thus enable others to obtain the better jobs. Also, an unknown number of people have moved themselves or their children up in the socioeconomic hierarchy through the incomes earned from the provision of goods and services in the slums: by becoming policemen and teachers, owning "Mom and Pop" stores, or working in the various rackets that flourish in the slums.

In fact, members of almost every immigrant group have financed their upward mobility by providing retail goods and services, housing, entertainment, gambling, narcotics, etc., to later arrivals in America (or in the city), most recently to blacks, Mexicans, and Puerto Ricans. Other Americans, of both European and native origin, have financed their entry into the upper middle and upper classes by owning or managing the illegal institutions that serve the poor, as well as the legal but not respectable ones, such as slum housing.

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the rich and the poor are engaged in a sadomasochistic relationship, the latter being supported financially by the former so that they can gratify their sadistic needs.

*Tenth*, just as the poor contribute to the economic viability of a number of businesses and professions (see function 3 above), they also add to the social viability of noneconomic groups. For one thing, they help to keep the aristocracy busy, thus justifying its continued existence. "Society" uses the poor as clients of settlement houses and charity benefits; indeed, it must have the poor to practice its public-mindedness so as to demonstrate its superiority over the *nouveaux riches* who devote themselves to conspicuous consumption. The poor play a similar function for philanthropic enterprises at other levels of the socioeconomic hierarchy, including the mass of middle-class civic organizations and women's clubs engaged in volunteer work and fundraising in almost every American community. Doing good among the poor has traditionally helped the church to find a method of expressing religious sentiments in action; in recent years, militant church activity among and for the poor has enabled the church to hold on to its more liberal and radical members who might otherwise have dropped out of organized religion altogether.

*Eleventh*, the poor perform several cultural functions. They have played an unsung role in the creation of "civilization," having supplied the construction labor for many of the monuments which are often identified as the noblest expressions and examples of civilization, for example, the Egyptian pyramids, Greek temples, and medieval churches.<sup>11</sup> Moreover, they have helped to create a goodly share of the surplus capital that funds the artists and intellectuals who make culture, and particularly "high" culture, possible in the first place.

*Twelfth*, the "low" culture created for or by the poor is often adopted by the more affluent. The rich collect artifacts from extinct folk cultures (although not only from poor ones), and almost all Americans listen to the jazz, blues, spirituals, and country music which originated among the Southern poor—as well as rock, which was derived from similar sources. The protest of the poor sometimes becomes literature; in 1970, for example, poetry written by ghetto children became popular in sophisticated literary circles. The poor also serve as culture heroes and literary subjects, particularly, of course, for the Left, but the hobo, cowboy, hipster, and the mythical prostitute with a heart of gold have performed this function for a variety of groups.

Finally, the poor carry out a number of important political functions:

*Thirteenth*, the poor serve as symbolic constituencies and opponents for several political groups. For example, parts of the revolutionary Left could not exist without the poor, particularly now that the working class can no longer be perceived as the vanguard of the revolution. Conversely,

<sup>11</sup> Although this is not a contemporary function of poverty in America, it should be noted that today these monuments serve to attract and gratify American tourists.



political groups of conservative bent need the “welfare chiselers” and others who “live off the taxpayer’s hard-earned money” in order to justify their demands for reductions in welfare payments and tax relief. Moreover, the role of the poor in upholding dominant norms (see function 5 above) also has a significant political function. An economy based on the ideology of laissez faire requires a deprived population which is allegedly unwilling to work; not only does the alleged moral inferiority of the poor reduce the moral pressure on the present political economy to eliminate poverty, but redistributive alternatives can be made to look quite unattractive if those who will benefit from them most can be described as lazy, spendthrift, dishonest, and promiscuous. Thus, conservatives and classical liberals would find it difficult to justify many of their political beliefs without the poor; but then so would modern liberals and socialists who seek to eliminate poverty.

*Fourteenth*, the poor, being powerless, can be made to absorb the economic and political costs of change and growth in American society. During the 19th century, they did the backbreaking work that built the cities; today, they are pushed out of their neighborhoods to make room for “progress.” Urban renewal projects to hold middle-class taxpayers and stores in the city and expressways to enable suburbanites to commute downtown have typically been located in poor neighborhoods, since no other group will allow itself to be displaced. For much the same reason, urban universities, hospitals, and civic centers also expand into land occupied by the poor. The major costs of the industrialization of agriculture in America have been borne by the poor, who are pushed off the land without recompense, just as in earlier centuries in Europe, they bore the brunt of the transformation of agrarian societies into industrial ones. The poor have also paid a large share of the human cost of the growth of American power overseas, for they have provided many of the foot soldiers for Vietnam and other wars.

*Fifteenth*, the poor have played an important role in shaping the American political process; because they vote and participate less than other groups, the political system has often been free to ignore them. This has not only made American politics more centrist than would otherwise be the case, but it has also added to the stability of the political process. If the 15% of the population below the federal “poverty line” participated fully in the political process, they would almost certainly demand better jobs and higher incomes, which would require income redistribution and would thus generate further political conflict between the haves and the have-nots. Moreover, when the poor do participate, they often provide the Democrats with a captive constituency, for they can rarely support Republicans, lack parties of their own, and thus have no other place to go politically. This, in turn, has enabled the Democrats to count

on the votes of the poor, allowing the party to be more responsive to voters who might otherwise switch to the Republicans, in recent years, for example, the white working class.

#### IV

I have described fifteen of the more important functions which the poor carry out in American society, enough to support the functionalist thesis that poverty survives in part because it is useful to a number of groups in society. This analysis is not intended to suggest that because it is functional, poverty *should* persist, or that it *must* persist. Whether it should persist is a normative question; whether it must, an analytic and empirical one, but the answer to both depends in part on whether the dysfunctions of poverty outweigh the functions. Obviously, poverty has many dysfunctions, mainly for the poor themselves but also for the more affluent. For example, their social order is upset by the pathology, crime, political protest, and disruption emanating from the poor, and the income of the affluent is affected by the taxes that must be levied to protect their social order. Whether the dysfunctions outweigh the functions is a question that clearly deserves study.

It is, however, possible to suggest alternatives for many of the functions of the poor. Thus, society's dirty work (function 1) could be done without poverty, some by automating it, the rest by paying the workers who do it decent wages, which would help considerably to cleanse that kind of work. Nor is it necessary for the poor to subsidize the activities they support through their low-wage jobs (function 2), for, like dirty work, many of these activities are essential enough to persist even if wages were raised. In both instances, however, costs would be driven up, resulting in higher prices to the customers and clients of dirty work and subsidized activity, with obvious dysfunctional consequences for more affluent people.

Alternative roles for the professionals who flourish because of the poor (function 3) are easy to suggest. Social workers could counsel the affluent, as most prefer to do anyway, and the police could devote themselves to traffic and organized crime. Fewer penologists would be employable, however, and pentecostal religion would probably not survive without the poor. Nor would parts of the second- and third-hand market (function 4), although even affluent people sometimes buy used goods. Other roles would have to be found for badly trained or incompetent professionals now relegated to serving the poor, and someone else would have to pay their salaries.

Alternatives for the deviance-connected social functions (functions 5–7) can be found more easily and cheaply than for the economic functions.

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Other groups are already available to serve as deviants to uphold traditional morality, for example, entertainers, hippies, and most recently, adolescents in general. These same groups are also available as alleged or real orgiasts to provide vicarious participation in sexual fantasies. The blind and disabled function as objects of pity and charity, and the poor may therefore not even be needed for functions 5–7.

The status and mobility functions of the poor (functions 8 and 9) are far more difficult to substitute, however. In a hierarchical society, some people must be defined as inferior to everyone else with respect to a variety of attributes, and the poor perform this function more adequately than others. They could, however, perform it without being as poverty-stricken as they are, and one can conceive of a stratification system in which the people below the federal “poverty line” would receive 75% of the median income rather than 40% or less, as is now the case—even though they would still be last in the pecking order.<sup>12</sup> Needless to say, such a reduction of economic inequality would also require income redistribution. Given the opposition to income redistribution among more affluent people, however, it seems unlikely that the status functions of poverty can be replaced, and they—together with the economic functions of the poor, which are equally expensive to replace—may turn out to be the major obstacles to the elimination of poverty.

The role of the poor in the upward mobility of other groups could be maintained without their being so low in income. However, if their incomes were raised above subsistence levels, they would begin to generate capital so that their own entrepreneurs could supply them with goods and services, thus competing with and perhaps rejecting “outside” suppliers. Indeed, this is already happening in a number of ghettos, where blacks are replacing white storeowners.

Similarly, if the poor were more affluent, they would make less willing clients for upper- and middle-class philanthropic and religious groups (function 10), although as long as they are economically and otherwise unequal, this function need not disappear altogether. Moreover, some would still use the settlement houses and other philanthropic institutions to pursue individual upward mobility, as they do now.

The cultural functions (11 and 12) may not need to be replaced. In America, the labor unions have rarely allowed the poor to help build cultural monuments anyway, and there is sufficient surplus capital from other sources to subsidize the unprofitable components of high culture.

<sup>12</sup> In 1971, the median family income in the United States was about \$10,000, and the federal poverty line for a family of four was set at just about \$4,000. Of course, most of the poor were earning less than 40% of the median, and about a third of them, less than 20% of the median.

Similarly, other deviant groups are available to innovate in popular culture and supply new culture heroes, for example, the hippies and members of other counter-cultures.

Some of the political functions of the poor would, however, be as difficult to replace as their economic and status functions. Although the poor could probably continue to serve as symbolic constituencies and opponents (function 13) if their incomes were raised while they remained unequal in other respects, increases in income are generally accompanied by increases in power as well. Consequently, once they were no longer so poor, people would be likely to resist paying the costs of growth and change (function 14); and it is difficult to find alternative groups who can be displaced for urban renewal and technological "progress." Of course, it is possible to design city-rebuilding and highway projects which properly reimburse the displaced people, but such projects would then become considerably more expensive, thus raising the price for those now benefiting from urban renewal and expressways. Alternatively, many might never be built, thus reducing the comfort and convenience of those beneficiaries. Similarly, if the poor were subjected to less economic pressure, they would probably be less willing to serve in the army, except at considerably higher pay, in which case war would become yet more costly and thus less popular politically. Alternatively, more servicemen would have to be recruited from the middle and upper classes, but in that case war would also become less popular.

The political stabilizing and "centering" role of the poor (function 15) probably cannot be substituted for at all, since no other group is willing to be disenfranchised or likely enough to remain apathetic so as to reduce the fragility of the political system. Moreover, if the poor were given higher incomes, they would probably become more active politically, thus adding their demands for more to those of other groups already putting pressure on the political allocators of resources. The poor might continue to remain loyal to the Democratic party, but like other moderate-income voters, they could also be attracted to the Republicans or to third parties. While improving the economic status of the presently poor would not necessarily drive the political system far to the left, it would enlarge the constituencies now demanding higher wages and more public funds. It is of course possible to add new powerless groups who do not vote or otherwise participate to the political mix and can thus serve as "ballast" in the polity, for example, by encouraging the import of new poor immigrants from Europe and elsewhere, except that the labor unions are probably strong enough to veto such a policy.

In sum, then, several of the most important functions of the poor cannot be replaced with alternatives, while some could be replaced, but almost

always only at higher costs to other people, particularly more affluent ones. Consequently, *a functional analysis must conclude that poverty persists not only because it satisfies a number of functions but also because many of the functional alternatives to poverty would be quite dysfunctional for the more affluent members of society.*<sup>13</sup>

V

I noted earlier that functional analysis had itself become a maligned phenomenon and that a secondary purpose of this paper was to demonstrate its continued usefulness. One reason for its presently low status is political; insofar as an analysis of functions, particularly latent functions, seems to justify what ought to be condemned, it appears to lend itself to the support of conservative ideological positions, although it can also have radical implications when it subverts the conventional wisdom. Still, as Merton has pointed out (1949, p. 43; 1961, pp. 736–37), functional analysis per se is ideologically neutral, and “like other forms of sociological analysis, it can be infused with any of a wide range of sociological values” (1949, p. 40). This infusion depends, of course, on the purposes—and even the functions—of the functional analysis, for as Wirth (1936, p. xvii) suggested long ago, “every assertion of a ‘fact’ about the social world touches the interests of some individual or group,” and even if functional analyses are conceived and conducted in a neutral manner, they are rarely interpreted in an ideological vacuum.

In one sense, my analysis is, however, neutral; if one makes no judgment as to whether poverty ought to be eliminated—and if one can subsequently avoid being accused of acquiescing in poverty—then the analysis suggests only that poverty exists because it is useful to many groups in society.<sup>14</sup> If one favors the elimination of poverty, however, then the analysis can have a variety of political implications, *depending in part on how completely it is carried out.*

If functional analysis only identifies the functions of social phenomena without mentioning their dysfunctions, then it may, intentionally or otherwise, agree with or support holders of conservative values. Thus, to say that the poor perform many functions for the rich might be interpreted or used to justify poverty, just as Davis and Moore’s argument

<sup>13</sup> Or as Stein (1971, p. 171) puts it: “If the non-poor make the rules . . . antipoverty efforts will only be made up to the point where the needs of the non-poor are satisfied, rather than the needs of the poor.”

<sup>14</sup> Of course, even in this case the analysis need not be purely neutral, but can be put to important policy uses, for example, by indicating more effectively than moral attacks on poverty the exact nature of the obstacles that must be overcome if poverty is to be eliminated. See also Merton (1961, pp. 709–12).

(1945) that social stratification is functional because it provides society with highly trained professionals could be taken to justify inequality.

Actually, the Davis and Moore analysis was conservative because it was incomplete; it did not identify the dysfunctions of inequality and failed to suggest functional alternatives, as Tumin (1953) and Schwartz (1955) have pointed out.<sup>15</sup> Once a functional analysis is made more complete by the addition of functional alternatives, however, it can take on a liberal and reform cast, because the alternatives often provide ameliorative policies that do not require any drastic change in the existing social order.

Even so, to make functional analysis complete requires yet another step, an examination of the functional alternatives themselves. My analysis suggests that the alternatives for poverty are themselves dysfunctional for the affluent population, and it ultimately comes to a conclusion which is not very different from that of radical sociologists. To wit: *that social phenomena which are functional for affluent groups and dysfunctional for poor ones persist; that when the elimination of such phenomena through functional alternatives generates dysfunctions for the affluent, they will continue to persist; and that phenomena like poverty can be eliminated only when they either become sufficiently dysfunctional for the affluent or when the poor can obtain enough power to change the system of social stratification.*<sup>16</sup>

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<sup>15</sup> Functional analysis can, of course, be conservative in value or have conservative implications for a number of other reasons, principally in its overt or covert comparison of the advantages of functions and disadvantages of dysfunctions, or in its attitudes toward the groups that are benefiting and paying the costs. Thus, a conservatively inclined policy researcher could conclude that the dysfunctions of poverty far outnumber the functions, but still decide that the needs of the poor are simply not as important or worthy as those of other groups, or of the country as a whole.

<sup>16</sup> On the possibility of radical functional analysis, see Merton (1949, pp. 40-43) and Gouldner (1970, p. 443). One difference between my analysis and the prevailing radical view is that most of the functions I have described are latent, whereas many radicals treat them as manifest: recognized and intended by an unjust economic system to oppress the poor. Practically speaking, however, this difference may be unimportant, for if unintended and unrecognized functions were recognized, many affluent people might then decide that they ought to be intended as well, so as to forestall a more expensive antipoverty effort that might be dysfunctional for the affluent.

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